



PRIVACY IMPACT ASSESSMENT (PIA)

For the

Nuclear Test and Radiological Review (NTRR)

Defense Threat Reduction Agency (DTRA)
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SECTION 1: IS A PIA REQUIRED?

a. Will this Department of Defense (DoD) information system or electronic collection of information (referred to as an "electronic collection" for the purpose of this form) collect, maintain, use, and/or disseminate PII about members of the public, Federal personnel, contractors or foreign nationals employed at U.S. military facilities internationally? Choose one option from the choices below. (Choose (3) for foreign nationals).

- ☐ (1) Yes, from members of the general public.
- ☐ (2) Yes, from Federal personnel* and/or Federal contractors.
- ☒ (3) Yes, from both members of the general public and Federal personnel and/or Federal contractors.
- ☐ (4) No

* "Federal personnel" are referred to in the DoD IT Portfolio Repository (DITPR) as "Federal employees."

b. If "No," ensure that DITPR or the authoritative database that updates DITPR is annotated for the reason(s) why a PIA is not required. If the DoD information system or electronic collection is not in DITPR, ensure that the reason(s) are recorded in appropriate documentation.

c. If "Yes," then a PIA is required. Proceed to Section 2.

SECTION 2: PIA SUMMARY INFORMATION

a. Why is this PIA being created or updated? Choose one:

- ☐ New DoD Information System ☐ New Electronic Collection
- ☒ Existing DoD Information System ☐ Existing Electronic Collection
- ☐ Significantly Modified DoD Information System

b. Is this DoD information system registered in the DITPR or the DoD Secret Internet Protocol Router Network (SIPRNET) IT Registry?

- ☒ **Yes, DITPR** Enter DITPR System Identification Number
- ☐ **Yes, SIPRNET** Enter SIPRNET Identification Number
- ☐ **No**

c. Does this DoD information system have an IT investment Unique Project Identifier (UPI), required by section 53 of Office of Management and Budget (OMB) Circular A-11?

- ☒ **Yes** ☐ **No**

If "Yes," enter UPI

If unsure, consult the Component IT Budget Point of Contact to obtain the UPI.

d. Does this DoD information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information should be consistent.

- ☒ **Yes** ☐ **No**

If "Yes," enter Privacy Act SORN Identifier

DoD Component-assigned designator, not the Federal Register number.
Consult the Component Privacy Office for additional information or
access DoD Privacy Act SORNs at: <http://www.defenselink.mil/privacy/notices/>

or

Date of submission for approval to Defense Privacy Office

Consult the Component Privacy Office for this date.

e. Does this DoD information system or electronic collection have an OMB Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information.

This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.



Yes

Enter OMB Control Number

0704-0447

Enter Expiration Date

30 June 2017



No

f. Authority to collect information. A Federal law, Executive Order of the President (EO), or DoD requirement must authorize the collection and maintenance of a system of records.

(1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be the same.

(2) Cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply.)

(a) Whenever possible, cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.

(b) If a specific statute or EO does not exist, determine if an indirect statutory authority can be cited. An indirect authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.

(c) DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component should be identified.

Atomic Energy Act of 1954, 42 USC 2013, Tasking Memorandum from Office of the Secretary of Defense to the Director, Defense Nuclear Agency (28 Jan 1978), Subject: DoD Personnel Participation in Atmospheric Nuclear Weapons Testing and Military Construction Appropriations Act of 1977 (PL 94-367) 38 CFR 3.309 and 3.311, DNA OPLAN 600-77, Cleanup of Enewetak Atoll; and the Radiation Exposure Compensation Act (PL 100-426, as amended by PL 100-510); and E.O. 9397 (SSN), as amended.

g. Summary of DoD information system or electronic collection. Answers to these questions should be consistent with security guidelines for release of information to the public.

(1) Describe the purpose of this DoD information system or electronic collection and briefly describe the types of personal information about individuals collected in the system.

NTRR provides access to a comprehensive database of participation and dose information for over 500,000 participants in United States atmospheric nuclear testing (1945-1962), with the occupation forces of Hiroshima and Nagasaki, Japan, or were prisoners of war in Japan at the conclusion of World War II, and the occupation of Hiroshima and Nagasaki. The program similarly supports an analogous 50,000 DoD personnel associated with U.S. underground nuclear weapon testing (1951-1992), and 6,000 DoD personnel associated with the radiological clean-up of the Pacific Proving Ground. The NTRR Program has many elements designed to assist military and civilian test participants, to help the Department of Veterans Affairs (VA) and the Department of Justice (DOJ) in responding to claims, and to provide information to organizations responsible for studies concerning the health effects of ionizing radiation. These elements include the following:

- (a) Researching participation and establishing a register of DoD participants;
- (b) Collecting and analyzing all known sources of recorded dosimetry and radiation data applicable to participants, and reconstructing doses in cases where recorded doses are unavailable or incomplete;
- (c) Maintaining a comprehensive database of participation and dose information, along with supporting archival materials and documents;
- (d) Conducting an extensive public outreach program to ensure maximum interface with the supported participants;
- (e) Maintaining the history of each U.S. atmospheric nuclear weapons test operation;
- (f) Supporting studies to determine whether participants experience adverse health effects as a result of their test activities; and
- (g) Providing accurate and timely responses to requests for information from incoming inquiries.

(2) Briefly describe the privacy risks associated with the PII collected and how these risks are addressed to safeguard privacy.

The NTRR Program maintains rigorous security over its information (both in electronic and written formats) so there are minimal potential privacy risks regarding collection, use, and sharing of the information in identifiable form. When DTRA must release information to a veteran that includes information about other veterans, e.g., ship's rosters or morning reports, the Privacy Act information is physically redacted on provided correspondence.

h. With whom will the PII be shared through data exchange, both within your DoD Component and outside your Component (e.g., other DoD Components, Federal Agencies)? Indicate all that apply.

☒ **Within the DoD Component.**

Specify.

Office of General Counsel

☒ **Other DoD Components.**

Specify.

USA, USN, and USAF Office of Surgeon Generals

☒ **Other Federal Agencies.**

Specify.

VA, DOJ, Department of Labor, Department of Energy, Department of Health and Human Services, Veterans' Advisory Board on Dose Reconstruction
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☐ **State and Local Agencies.**

Specify.

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☒ **Contractor** (Enter name and describe the language in the contract that safeguards PII.)

Specify.

National Cancer Institute and Vanderbilt University - Atomic veteran radio-epidemiology and/or biodosimetry studies. Principal investigators maintain approved research protocol (via their Institutional Review Board), which includes no public release of Privacy Act data.
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☒ **Other** (e.g., commercial providers, colleges).

Specify.

As described and under the "Blanket Routine Uses" published at the beginning of DTRA's compilation of systems of records notices.

i. Do individuals have the opportunity to object to the collection of their PII?

☒ **Yes** ☐ **No**

(1) If "Yes," describe method by which individuals can object to the collection of PII.

Consent to collect veteran information is requested either by the VA, DOJ, or through the veteran's signature on DTRA Form 150, "Nuclear Test Personnel Review Information Request and Release." The veteran's release of information to VA, DOJ, or DTRA is completely voluntary. However, failure to provide the requested information may delay or preclude the potential administration of veteran benefits.
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(2) If "No," state the reason why individuals cannot object.

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j. Do individuals have the opportunity to consent to the specific uses of their PII?

☒ **Yes** ☐ **No**

(1) If "Yes," describe the method by which individuals can give or withhold their consent.

Consent to collect veteran information is requested either by the VA, DOJ, or through the veteran's signature on DTRA Form 150, "Nuclear Test Personnel Review Information Request and Release." The veteran's release of information to VA, DOJ, or DTRA is completely voluntary. However, failure to provide the requested information may delay or preclude the potential administration of veteran benefits.
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(2) If "No," state the reason why individuals cannot give or withhold their consent.

k. What information is provided to an individual when asked to provide PII data? Indicate all that apply.

☒ **Privacy Act Statement**

☒ **Privacy Advisory**

☒ **Other**

☐ **None**

Describe each applicable format.

The NTRR Program provides Privacy Act Statements (PAS) to veterans as written correspondence through three vehicles: (a) responses to personal requests, (b) copies of correspondence provided to VA or DOJ, and (c) requests for veteran review and verification of the DTRA prepared "Scenario of Participation and Radiation Exposure." The PAS includes Federal Statutes and/or Executive Orders that authorize the maintenance of the system, the purpose for maintaining the system, the routine uses describing to whom the information is being disclosed, and whether providing the information is voluntary or mandatory.